

# The Association Between Patient-Reported and Objective Oral Anticancer Medication Adherence Measures: A Systematic Review

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**Problem Identification:** Oral anticancer medication (OAM) use has been steadily increasing, leading to several patient benefits. A notable challenge for nurses is accurate monitoring of patient OAM regimens because nonadherence is associated with poor health outcomes and decreased survival. Currently, no gold standard measure of OAM adherence exists. The authors conducted a systematic review of the association between objective and patient-reported measures of OAM adherence.

**Literature Search:** A systematic electronic literature search was conducted using PubMed, EMBASE, Scopus, PsycINFO<sup>®</sup>, Cochrane Library, Web of Science, and CINAHL<sup>®</sup> databases through November 2014.

**Data Evaluation:** Articles were independently reviewed to determine whether they included an original characterization of the level of association between objective and patient-reported measures of OAM adherence.

**Synthesis:** From a total of 11,135 articles retrieved, eight studies met inclusion criteria. Objective adherence was primarily assessed using pill counts or Medication Event Monitoring System (MEMSCap<sup>™</sup>). Patient-reported adherence was most commonly assessed using study-specific questionnaires. Significant positive correlations were observed between objective and patient-reported adherence across most studies, with three studies reporting higher rates of adherence via patient reporting.

**Conclusions:** Despite variation in the OAMs and measures used, patient-reported adherence rates were equal to or higher than objective adherence measures across studies. Social desirability bias may be a concern; however, given the significant concordance observed, using patient-reported methods in future studies of OAM adherence may be justified.

**Implications for Nursing:** This review provides evidence to support nursing use of patient-reported measures to accurately monitor OAM adherence and potentially improve the quality of patient–provider communication.

The use of oral anticancer medication (OAM) has been steadily on the rise to treat a variety of cancer types (Bedell, 2003; Moore, 2007; O'Neill & Twelves, 2002). OAMs allow patients to administer their own treatments from the privacy of their homes, decreasing the necessity for frequent clinic visits. As a result, OAMs have been shown to be a patient-preferred option, related primarily to the convenience of administration, as well as the perceived reduction in interference with everyday life (Foulon, Schoffski, & Wolter, 2011). The preference for oral over IV medications may also be related, in part, to an increase in perceived effectiveness and the perceived reduced toxicity of this method (Borner et al., 2002; Fallowfield et al., 2006; Thanki, Gangwal, Sangamwar, & Jain, 2013).

Despite the perceived benefits of OAM, adherence (defined, in this context, as the extent to which patients take their medications as prescribed by their healthcare providers either as part of clinical trial participation or routine

care) has been frequently reported as suboptimal (Osterberg & Blaschke, 2005; Verbrugghe, Verhaeghe, Lauwaert, Beeckman, & Van Hecke, 2013). Poor adherence to OAMs is an important factor in providing care because it can negatively affect providers' abilities to determine treatment efficacy and effectiveness (Ruddy, Mayer, & Partridge, 2009). In addition, poor adherence contributes to increased healthcare costs and can lead to worsening of disease and decreased overall patient survival (Bestvina et al., 2014; Servick, 2014; Soria et al., 2011). Rates of adherence to OAMs are often less than 80%, with 10% of patients with cancer documented as not having refilled their OAM prescriptions (Kavookjian & Wittayanukorn, 2015; Puts et al., 2014; Spoelstra & Given, 2011; Streeter, Schwartzberg, Husain, & Johnsrud, 2011).

Several factors have contributed to poor OAM adherence. From a patient perspective, barriers to proper adherence include (a) challenging administration schedules, (b) beliefs that the medication may not be effective or outweigh the risks of side effects, and (c) patients' forgetfulness or drowsiness, leading to missed doses (Palmieri & Barton, 2007; Verbrugghe et al., 2013; Verma, Madarnas, Sehdev, Martin, & Bajcar, 2011). Adverse events have also been implicated in treatment discontinuation and missed or held doses (Deutsch, Koerner, Miller, Craft, & Fancher, 2016). Systemic barriers, such as lack of health insurance reimbursement for medication costs and proper refilling of prescriptions, have also contributed to poor adherence rates. The number of perceived and actual barriers associated with oral medication adherence in cancer can be discouraging, particularly because OAMs have become increasingly popular and, when taken properly, are associated with positive clinical outcomes (Darkow et al., 2007; Ibrahim et al., 2011; Marin et al., 2010). From an oncology nursing perspective, the direct relationship between OAM regimen adherence and the therapeutic outcome of cancer treatment (Servick, 2014) places nurses in a position as essential stakeholders in helping to improve OAM adherence. Therefore, investigating best practices for capturing treatment-related adherence information is important.

Currently, no gold standard measure of OAM adherence exists. Approaches documented in the oncology literature have included patient self-report, pill counts, pharmacy refill rates, and the Medication Event Monitoring System (MEMSCap™) (Macintosh, Pond, Pond, Leung, & Siu, 2007; Walter et al., 2013). Each method has potential limitations, including errors made during pill counting, failure to properly document dosage modifications in the medical record, and issues related to patients being delayed in receiving their OAM. Despite variation

in the approach used, few studies actually compare and test the effectiveness of subjective versus objective adherence measures. The authors of the current study conducted a systematic review of the available research evidence to assess the association between patient-reported and objective measures of OAM adherence in patients with cancer.

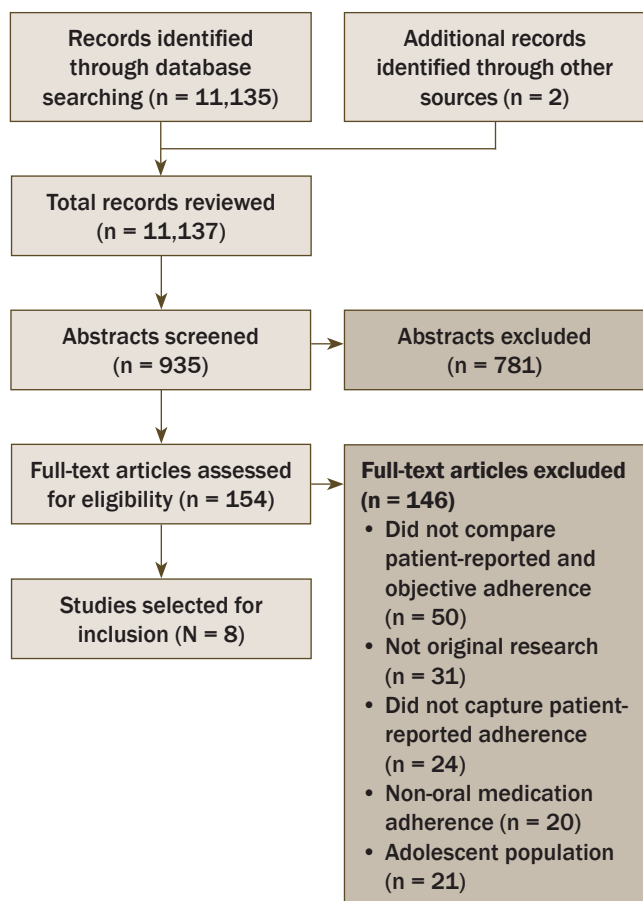
## Methods

A systematic search of articles published in peer-reviewed journals was performed using PubMed, EMBASE, Scopus, Cochrane Library, Web of Science, PsycINFO®, and CINAHL®. Four categories of terms were searched: (a) cancer, (b) oral administration, (c) medication, and (d) medication adherence. No date or language restrictions were used, and each database was searched in its entirety through November 2014.

In PubMed and Cochrane Library, Medical Subject Headings (MeSH) were used in addition to key words. In EMBASE, Emtree terms were exploded in addition to key words. In Scopus, Web of Science, PsycINFO, and CINAHL, only key words were used. In addition, a keyword search was completed in the following grey literature sources: the National Cancer Institute, CancerCare, the American Cancer Society, the Oncology Nursing Society, and the Association of Community Cancer Centers. A complete list of MeSH and keyword terms used can be found in Figure 1. Studies were

### FIGURE 1. Medical Subject Heading (MeSH) and Keyword Terms for the Literature Search

*("Neoplasms"[MeSH] OR cancer OR cancers OR cancerous OR tumor OR tumors OR tumour OR tumours OR neoplasm OR neoplasm\* OR neoplastic OR malignan\* OR metastatic OR metastasis OR metastases)*  
AND  
*("Administration, Oral"[MeSH] OR oral OR "by mouth" OR "oral drug administration")*  
AND  
*("Etoposide"[MeSH] OR "capecitabine"[Supplementary Concept] OR "Cyclophosphamide"[MeSH] OR "Idarubicin"[MeSH] OR "vinorelbine"[Supplementary Concept] OR "imatinib"[Supplementary Concept] OR "Tamoxifen"[MeSH] OR "Raloxifene"[MeSH] OR "Aromatase Inhibitors"[MeSH] OR "fulvestrant"[Supplementary Concept] OR drug OR drugs OR medication OR medications OR chemotherapy OR treatment OR pill OR pills OR tablet OR tablets OR medicine OR agent OR agents OR etoposide OR capecitabine OR cyclophosphamide OR idarubicin OR vinorelbine OR imatinib OR tamoxifen OR raloxifene OR "aromatase inhibitor" OR "aromatase inhibitors" OR fulvestrant)*  
AND  
*("Medication Adherence"[MeSH] OR compliance OR "medication compliance" OR adherence OR compliant OR adherent OR consistency OR inconsistency)*



**FIGURE 2. PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) Flow Chart**

deemed eligible for inclusion if they included an original characterization of the level of association between patient-reported and objective OAMs in adult (i.e., aged 18 years or older) patients with cancer.

Initially, all titles were independently reviewed for eligibility by two coauthors. Records identified during the title review were then randomly assigned to a different pair of coauthors for full abstract screening. Articles moved forward for full-text review if both coauthors reached consensus on eligibility. In instances of disagreement, a third coauthor served as an arbitrator. For the full-text review phase, author teams were randomly assigned, and they consisted of a primary reviewer and a secondary reviewer for the purposes of verification and quality assurance. Both reviewers independently completed standardized coding forms to extract the predetermined information from each potentially eligible article. All reviewers then met as a group and compared full-text article reviews to resolve any discrepancies and make final decisions regarding article inclusion. Each author searched references from the included full-text articles to determine whether they should also be considered for inclusion. Study quality was

assessed using a modified version of the Downs and Black (1998) study quality checklist.

## Results

The initial electronic literature search yielded a total of 11,135 titles. Two additional titles were added through hand searching. Following the process of title screening, two of the primary authors independently reviewed each of the 935 unique article abstracts; 154 (110 full-text articles and 44 conference proceeding abstracts) were retained for the full-text review. Reasons for article exclusion during the full-text review phase included that they (a) did not compare patient-reported and objective adherence (n = 50), (b) did not capture patient-reported adherence (n = 24), (c) did not assess adherence for oral anticancer medication (n = 20), (d) were reviews or did not include original research findings (n = 31), or (e) included adolescent populations (n = 21). A total of eight articles met eligibility criteria and were included in this review (see Figure 2). Inter-rater agreement was high (Cohen's kappa = 0.82). Each of the eight articles possessed at least 80% of the relevant quality indicators from a modified version of the Downs and Black (1998) study quality checklist.

## Study Characteristics

Table 1 includes a summary of patient demographics and clinical characteristics of the eight included studies. Patients were of mixed cancer types, including chronic myeloid leukemia, as well as breast, gastrointestinal, hematologic, and lung cancers. OAM type was variable across studies and included capecitabine (Xeloda<sup>®</sup>), erlotinib (Tarceva<sup>®</sup>), exemestane (Aromasin<sup>®</sup>), gemcitabine (Gemzar<sup>®</sup>), imatinib (Gleevec<sup>®</sup>), sunitinib (Sutent<sup>®</sup>), tamoxifen (Nolvadex<sup>®</sup>), and temozolomide (Temodar<sup>®</sup>). Only two studies reported race, with the majority of those patients identifying as Caucasian (Gebbia et al., 2013; Schneider, Adams, & Gosselin, 2014). Ethnicity was not reported in any of the eight studies.

In the majority of the included articles (n = 5), study-specific assessment measures were used to capture patient-reported OAM adherence. Two studies captured patient-reported OAM adherence using the Medication Adherence Rating Scale (MARS) (Timmers et al., 2014, 2015), whereas one employed the Basel Assessment of Adherence Scale (BAAS) (Gebbia et al., 2013).

Objective measures used to capture OAM adherence were much more variable and included pharmacy fill rates, pill counts, medication possession ratio (MPR), visual analog scale (VAS), and MEMSCap. MPR was calculated as the total days' supply of an OAM dispensed during a follow-up period, adjusted

**TABLE 1. Characteristics of Included Studies**

Study	Sample	Objective Assessment	Patient-Reported Assessment	Reported Association
Addeo et al., 2015	285 female patients with breast cancer who were taking exemestane	Medication possession ratio, pill count	Self-report survey	88% of women self-reported taking all of their OAM; 12% reported that they took nearly all of their OAM. Objective assessment (medication possession ratio) showed significantly lower adherence rates (78% across six months of treatment).
Daouphars et al., 2013	46 patients with chronic myeloid leukemia (50% female) with a mean age of 59 years (range = 29–89) who were taking imatinib	Medication possession ratio	Self-report survey	Patient-reported adherence and objective assessment (medication possession ratio) data were significantly positively associated ( $r = 0.68$ , $p < 0.001$ ).
Gebbia et al., 2013	150 patients with lung cancer (33% female) with a median age of 66 years who were taking erlotinib	Visual analog scale, pill count	Basel Assessment of Adherence Scale	No statistically significant correlation was found between adherence to erlotinib, as evaluated by patient-reported and objective measures ( $p = 0.067$ ).
Schneider et al., 2014	48 patients with breast and gastrointestinal cancers and hematologic malignancies (65% female) with a mean age of 60 years (range = 35–86 years) who were taking capecitabine or tamoxifen	Pharmacy fill rates	Self-report survey	Pharmacy fill rates were significantly lower than patient-reported adherence. Patient-reported adherence was 86% and 89% during two and four months, respectively. Individuals who had adequate supply of medication to take their medication as prescribed was 73% and 71% at two and four months, respectively. Positive association ( $p = 0.0048$ ) was found between patient-reported adherence and pharmacy fill rates at two months.
Timmers et al., 2014	177 patients with hematologic malignancies (44% female) with a mean age of 59 years (range = 22–86) who were taking capecitabine, dasatinib, erlotinib, imatinib, nilotinib, sunitinib, temozolomide, or thalidomide	Pill count, pharmacy fill rates	Medication adherence rating scale	Significant positive association was found between objective assessment and patient-reported assessment (optimal adherence [medication adherence rating scale $\geq 25$ ] versus suboptimal adherence [medication adherence rating scale $< 25$ ]; $p = 0.006$ ).
Timmers et al., 2015	62 patients with lung cancer (47% female) with a median age of 64 years who were taking erlotinib	MEMSCap	Medication adherence rating scale	Significant association was found between suboptimal patient-reported adherence (medication adherence rating scale $< 25$ ) and suboptimal intake relative to time-points of a meal, as captured by MEMS-Cap (odds ratio = 4.83, $p = 0.042$ ).
Walter et al., 2013	19 patients with gastrointestinal malignancies (53% female) with a median age of 57 years (range = 39–76) who were taking XELIRI (with or without bevacizumab), XELOX, gemcitabine, or capecitabine	MEMSCap, pill count	Short standard questionnaire	Patient-reported adherence rate had a significant positive association with objective adherence captured by pill count but not via MEMSCap.
Waterhouse et al., 1993	26 patients with breast cancer (100% female) with a median age of 59 years (range = 42–86) who were taking tamoxifen	MEMSCap, pill count	Self-report survey	Patient-reported adherence was significantly higher than objective adherence (pill count [ $p = 0.008$ ], MEMSCap [ $p = 0.005$ ]).

OAM—oral anticancer medication; MEMSCap™—Medication Event Monitoring System; XELIRI—capecitabine and irinotecan; XELOX—capecitabine and oxaliplatin

for dosage reductions (Addeo et al., 2015; Daouphars et al., 2013). The VAS ranged from 0 (no adherence) to 100 (perfect adherence) (Gebbia et al., 2013). MEMS-Cap recorded adherence through documenting each medication bottle opening as a dose (Timmers et al., 2015; Walter et al., 2013; Waterhouse, Calzone, Mele, & Brenner, 1993).

### **Patient-Reported and Objective Oral Anticancer Medication Adherence**

A significant positive relationship between patient-reported and objective OAM adherence was reported in four studies. MPR and patient self-rated adherence were significantly correlated ( $r = 0.68$ ,  $p < 0.001$ ) in a study of patients with chronic myeloid leukemia (Daouphars et al., 2013). Two separate studies of patients with hematologic malignancies and lung cancer conducted by Timmers et al. (2014, 2015) demonstrated a significant association between patient-reported OAM adherence, as captured by MARS, and objective assessments. Walter et al. (2013) found, in a study of patients with gastrointestinal malignancies, that patient-reported OAM adherence had a significant positive association with objective pill counts, but not MEMSCap.

Patient-reported and objective OAM adherence was only directly compared in three studies; however, in each case, patient-reported OAM adherence was significantly higher than objective OAM adherence. A study of women with breast cancer found that 88% self-reported completing all of their prescribed OAM during six months of treatment; objective assessment resulted in only 78% adherence (Addeo et al., 2015). In a study of patients with mixed cancer types, patient-reported OAM adherence was documented at 86% and 89% for the two- and four-month follow-ups, respectively. Pharmacy fill rates in this patient population indicated that 73% and 71% of patients had an adequate supply of medication to take their OAM as prescribed at the two- and four-month follow-ups, respectively (Schneider et al., 2014). An additional study of women with breast cancer demonstrated that patient-reported OAM adherence, as captured by a study-specific self-report survey, was significantly higher than that captured by pill counts ( $p < 0.008$ ) or MEMSCap ( $p < 0.005$ ) (Waterhouse et al., 1993). The remaining study reported no significant correlation between patient-reported (BAAS) and objective (VAS, pill counts) OAM measures (Gebbia et al., 2013).

### **Discussion**

The authors' primary objective with this systematic review was to examine associations between patient-

reported and objective measures of OAM adherence in patients with cancer. Findings suggest moderate agreement between patient-reported and objective measures of OAM adherence. Of the eight studies reviewed, four found positive correlations between patient-reported and objective measures, and only three studies explicitly compared measures. In the three studies that directly compared adherence methods, patient-reported measures yielded higher adherence rates. These higher rates are possibly an overestimation of the patients' true adherence rates. In addition, the lack of standardized use of subjective and objective adherence measures across studies makes comparison difficult.

Although these high rates may be indicative of social desirability patterns, where patients feel the need to be a "good patient," leading to overinflation of adherence rates, the associations found among measures suggest that patient-reported adherence is a valid method for eliciting this important information. Psychometric research on the efficacy and validity of patient-reported outcomes further supports this viewpoint (Sadahiro et al., 2000; Shi et al., 2010). Future research that specifically evaluates the interaction between adherence rates and methods on clinical outcomes is needed to determine best practices when measuring adherence, particularly in clinical trials. These findings also suggest the need for a multicenter research collaboration addressing adherence to OAM using uniform patient-reported and objective measures.

All studies reviewed showed some degree of OAM medication nonadherence. Nonadherence is problematic for several reasons. Treatment adherence is one of the most salient predictors of patient survival, and poor adherence can result in drug resistance (Kavookjian & Wittayanukorn, 2015), low response rate (Marin et al., 2010), earlier and more frequent disease progression (Kavookjian & Wittayanukorn, 2015), and, ultimately, a greater risk of death (Richardson, Shelton, Krailo, & Levine, 1990). Nonadherence may also negatively affect healthcare providers' ability to determine treatment safety and efficacy (Ruddy et al., 2009). Poor adherence not only affects patient-related outcomes, but also can negatively influence system-related outcomes, including increased healthcare costs. Specifically, 33%–66% of hospital admissions are directly attributable to poor medication adherence, resulting in about \$100 billion in healthcare costs each year (Osterberg & Blaschke, 2005).

### **Limitations**

The current review is strengthened by its comprehensive and systematic approach to the examination

## Knowledge Translation

- Patient-reported measures appear to capture oral anticancer medication (OAM) adherence as well as traditional, objective methods, such as pill count or pharmacy fill rates.
- Patient-reported measures of OAM adherence may be viable for use as a cost-effective and reliable alternative to objective capture of this information.
- Patient-centered monitoring of OAM usage can potentially facilitate communication with nurses about the therapeutic importance of adhering to prescribed treatments.

of the available literature on OAM adherence but is limited by several factors. First, the review only focused on anticancer medications, limiting the ability to examine how adherence may be affected by other medications typically prescribed to patients with cancer. Recognizing the complexity of treatment regimens, which also include other types of oral medications, future research may address the impact of drug combinations on overall adherence and clinical outcomes. Second, the majority of the studies included in this review did not report effect sizes, so the authors were not able to employ meta-analytic techniques to report an overall effect. Lastly, a minority of studies directly compared patient-reported and objective measures of OAM adherence. Future research is needed to further examine the concordance and efficacy of these widely used assessments of adherence.

## Implications for Practice and Research

Several factors contribute to poor OAM adherence, but one of the primary barriers is a lack of effective communication between patients and providers. One recommendation to increase OAM is to improve the quality of patient-provider communication through the increased use of nursing-centered patient education. The nursing role in medication teaching may mitigate the disconnect in communication between patients and providers, maximizing medication adherence. Oncology nurses are at the forefront of a patient's healthcare team and are in an ideal position to optimize patient-provider communication and help patients gain improved knowledge about the importance of OAM adherence. A number of trials have investigated the effectiveness of various nursing-led interventions to improve adherence rates, showing great promise for the role of nurses in improving adherence and, ultimately, long-term

outcomes (Boucher, Lucca, Hooper, Pedulla, & Berry, 2015; Schneider et al., 2014; Schneider, Hess, & Gosselin, 2011). In addition, the use of smartphone and other mobile health technology may help to reduce communication gaps between nurses and patients, as has been preliminarily suggested in a review by Park, Howie-Esquivel, and Dracup (2014). For example, if patient nonadherence to their OAM is because of adverse events that are being attributed to the medication, the oncology nurse could be sent an electronic real-time notification, with this information emphasized for discussion at the next patient visit. In cases of extreme adverse events, the oncology nurse could give this patient-reported information to the patient's disease management team to inform a potential dosage modification.

## Conclusion

Patient-reported OAM adherence rates were equal to or higher than objective OAM adherence measures across the majority of studies. Given the moderate concordance between patient-reported measures and objective measures, future research or clinical trials that aim to assess adherence to OAM may use patient-reported measures as a cost-effective and high-quality alternative to objective methods.

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